

Date:

To  
NJ India Invest Private Limited  
9th Floor, B Tower, Udhna Udyognagar Sangh  
Commercial Complex, Central Road No.10,  
Udhna, Surat – 394210, Gujarat.

### **Activation of segments on BSE & NSE**

Dear Sir,

I/we \_\_\_\_\_ am/are registered as client of your company NJ India Invest Private Limited (“NJ India”) with UCC \_\_\_\_\_ and have executed the 'Trading Member & Client Agreement' for the purpose of trading in the segments of National Stock Exchange of India Ltd. (NSE) & Bombay Stock Exchange (BSE).

I/we request you to activate my/our said account for trading in the below segments of the exchanges.

<b>BSE Segment</b>		<b>NSE Segment</b>	
Cash	<input type="checkbox"/>	Cash	<input type="checkbox"/>
F&O	<input type="checkbox"/>	F&O	<input type="checkbox"/>
Currency Derivative	<input type="checkbox"/>	Currency Derivative	<input type="checkbox"/>

I/we agree and understand that the terms and conditions of the Member – Client Agreement entered by me/us with NJ India along with the voluntary clauses and other authorizations as provided to me/us including but not limited to 'Authorisation for receipt of Contract Notes and other documents in digital mode', 'Running Account Authorisation', 'Settlement periodicity' or any other authorizations furnished earlier shall remain applicable to the above mentioned trading segments.

I/We hereby agree and understand that the Power of Attorney granted by me/us in favour of NJ India shall continue to be valid and binding upon me/us and shall be applicable to all the services availed by me/us from NJ India including trading in above segments. I/we have read and understood the 'Combined Risk Disclosure Document' and I/we am/are aware of the risk associated with above segments trading.

I/we have read, understood and agree to the updated policies and procedures, particularly the clause no.6 of Policy and Procedures which read as under:

"6. Shortages in obligation arising out of internal netting of trades: Clients are required to make Securities/ Funds pay-in on T+2 day for shares and T+1 day in case of Mutual Fund units. In case of default in security pay-in by the client and the shortage is at member level i.e. internal shortage, a penalty as applicable from time to time, will be imposed on the defaulting client and the benefit will be passed on to the respective beneficiary client. Rate of penalty will be decided by member as applicable from time to time and published on our website.

In case of default of securities, pay-in by the client and the shortage is vis-a vis the Exchange, auction value of the respective exchanges and all levies, as applicable, shall be recovered from the defaulting client.

Client hereby agrees that if he / she / it has short delivered any securities against his / her / its pay in obligation which resulted into internal shortage i.e. adjusted against buy position of another client of the stock broker and couldn't be auctioned in the market then the settlement shall take place in any of the following manner:

i) Furnishing the sell obligation to BSE for auction as an internal Shortage through the self auction route of BSE for the shortage on any exchange.

ii) Buying the securities from open market for the delivery to purchasing client and debiting the cost of purchases along with brokerage and other charges to your account.

iii) Closing out the shortage by debiting an amount equivalent to the sell proceeds plus 10% and passing on this amount to the credit of purchasing client.

iv) Any other method acceptable to us and the purchaser which is not explicitly disallowed under any acts, rules by laws, regulations, circulars, notifications etc. issued by SEBI Exchanges or any other regulatory authority."

I/we hereby agree that I/we have read and understood all the policies and procedures of NJ India as applicable to my/our trading account. Further, I/we hereby undertake to refer to the updated policies and procedures as posted on NJ India's website and abide by the same from time to time.

Thanking you,

Yours faithfully,

Name of the Client / Authorised Signatory(ies) \_\_\_\_\_

Signature or Client: \_\_\_\_\_

Client Code: \_\_\_\_\_

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## VOLUNTARY DECLARATION

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### AUTHORIZATION FOR MAINTENANCE OF RUNNING ACCOUNT (NSE/BSE)

Date:

I/We have been/shall be dealing through you as my/our broker on the Capital Market. As my/our broker i.e. agent I/we direct and authorize you to carry out trading/dealings on my/our behalf as per instructions given below.

I am/we are aware that you and I/we have the option to deliver securities/make payments of funds to each other for settlement of dealings as per the schedule in force, at the relevant time pursuant to directives/ regulations/circulars, issued by exchange/regulatory authorities. However, I/we find it difficult to carry out repeated pay-in of funds and securities. Further, I/we also desire to use my/our securities and monies as margin/collateral without which we cannot deal/trade.

Therefore, I/we hereby direct and authorize you to maintain running account(s) for me/us and from time to time debit these securities and funds from running accounts and make pay-in of securities and funds to exchanges/clearing corporations/other receiving party(ies) to settle my/our trades/ dealings. Similarly, where I/we have to receive securities/funds in settlement of trades/dealings, please keep the securities and monies with you and make credit entries for the same in running accounts of securities and fund maintained by you. Further, subject to your discretion and valuation please treat my/our securities and funds lying to my/our credit in running accounts as margin/collateral for my/our dealings/ trading.

In the event I/we have outstanding obligations on the settlement date, you may retain the requisite securities/ funds towards such obligations and may also retain the funds expected to be required to meet margin obligations for next 5 trading days, calculated in the manner specified by the exchanges. While settling the

Name: \_\_\_\_\_

Signature or Client: \_\_\_\_\_

account, please send a 'statement of accounts' containing an extract from ledger for funds and an extract from the register of securities displaying all receipts/deliveries of funds/securities. Please explain in statement(s) being sent, the retention of funds/securities and the details of the pledge, if any. I agree that if I/we fail to bring any dispute arising from the statement of accounts or settlement so made to your notice within 7 working days from the date of receipt of funds/securities or statement, as the case may be in writing by delivery at your registered office then in that event the statement of accounts or settlement so made shall attain finality and I/we shall have no right to dispute any/either of these ever.

Please do not carry out above stated settlement of running account in the event I/we avail margin trading facility. Further, do not carry out settlement of running account referred to above for funds given by me/us towards collaterals/ margin in the form of bank guarantee (BG)/ Fixed Deposit receipts (FDR).

Please further note that I am/We are entitled to revoke this authorization at any time. I/we shall be liable for all losses, damages and actions, which may arise as a consequence of your adhering to and carrying out my/our directions given above and further agree that you shall not be liable for any claim for loss or profit, or for any consequential, incidental, special or exemplary damages, caused by retention of securities/ monies under this agreement.

My/Our preference for actual settlement of funds and securities is at least:

- Once in a calendar quarter  
 Once in a calendar month

UCC: \_\_\_\_\_

# TARIFF SHEET

## Capital Market

Market Segment	Brokerage Rate Structure
Direct Equity	<input type="checkbox"/> 0.50% or Rs 20/- on executed order whichever is lower <b>OR</b> <input type="checkbox"/> _____% (MIN. 0.10% - MAX. 2.5%)
Debt	<input type="checkbox"/> 0.50% or Rs 20/- on executed order whichever is lower <b>OR</b> <input type="checkbox"/> _____% (MIN. 0.10% - MAX. 2.5%)
Liquid	0.05% or Rs 20/- on executed order whichever is lower

Note:

1. In case of customized rate, Max Rate applicable is 2.5% and Minimum Rate applicable is 0.10%
2. GST on Brokerage will be charged as applicable.
3. Securities Transaction Tax (STT) applicable as per delivery & non delivery on turnover.
4. Stamp Duty Applicable State wise as per delivery and non-delivery will be levied on turnover.
5. SEBI Turnover Tax may be applicable in future.
6. Exchange Transaction Charges will be applicable in future as defined by respective exchange.
7. Partners registered as "Referral" are not eligible to modify Capital Market Tariff Rates.

Name of the Client: \_\_\_\_\_

Signature or Client: \_\_\_\_\_

UCC: \_\_\_\_\_

Authorised Person's Name: \_\_\_\_\_

Authorised Person's Code: \_\_\_\_\_

